

## REMARKS

Upon entry of this amendment, claims 1-4, 8-9, 11-14, 16, and 21-31 will be amended and claims 6-7 and 32-35 will be canceled. Thus, claims 1-5 and 8-31 will remain pending. The amendments were made solely to expedite prosecution of the present application and Applicants reserve the right to pursue the subject matter of the originally filed claims in this and other applications. No new matter has been added. Reconsideration and further examination are respectfully requested.

The claims stand rejected under 35 USC § 102 and/or 103 as being anticipated by or obvious over the publication, "Design and Implementation of an Access Control Processor for XML Documents," by Damiani et al., published by Computer Networks, June 2000 (hereafter Damiani '00) and /or the publication, "RIXML Specification User's Guide and Data Dictionary Report," published by RIXML, June 20, 2001 (hereafter RIXML '01).

Claim 1 has been amended to recite "automatically analyzing financial content of the financial document to determine an appropriate entitlement rule." The other claims recite similar limitations. Support for this amendment may be found, for example, in the specification at: page 16, lines 1 to 2; page 18, lines 1 to 3; and claim 34 as originally filed.

Claim 34 as originally filed recited a similar limitation and was rejected under 35 USC § 102 in view of Damiani '00 p. 16, noting that the example policies demonstrate entitlement rules based on XML tags as specified by a Document Type Definition (DTD) file. The claims have been amended to make clear that it is the content (*e.g.*, the financial content) of a document that is used to automatically determine an entitlement rule - as opposed to pre-defined XML tags and/or DTD information (*e.g.*, which might have been originally defined by an administrator). Neither Damiani '00 nor RIXML '01 disclose or suggest such a feature.

Moreover, claim 1 has been amended to recite that information associated with the financial document may be provided to a content reader based on the entitlement rule and "a bit vector representing at least one of: (i) the document tag and a plurality of content selection tag sets, or (ii) a content selection tag set and a plurality of document tags." The other claims recite

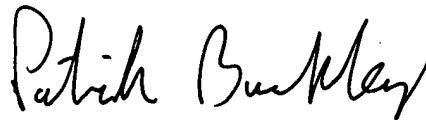
similar limitations (with claim 27 reciting a hash table in place of the bit vector) . Support for these amendments may be found, for example, in the specification at page 24, lines 9 to 26.

Neither Damiani '00 nor RIXML '01 disclose or suggest such a feature.

### CONCLUSION

Accordingly, Applicants respectfully request allowance of the pending claims. If any issues remain, or if the Examiner has any further suggestions for expediting allowance of the present application, the Examiner is kindly invited to contact the undersigned via telephone at (203) 972-0191.

Respectfully submitted,



December 29, 2004

Date

---

Patrick J. Buckley  
Registration No. 40,928  
Buckley, Maschoff & Talwalkar LLC  
Five Elm Street  
New Canaan, CT 06840  
(203) 972-0191